# RESPONSIBLE CONDUCT OF RESEARCH

Karen L. Klomparens, Dean Michigan State University
East Lansing MI



#### What is RCR?

- Honesty
- Accuracy
- Objectivity
- Fairness

Introduction to the Responsible Conduct of Research. Nick Steneck. Office of Research Integrity (2004)



# RCR is important for YOU!

- Establish credibility as a researcher
- Establish a clear "path" of data
- Enable further research
- Contribute to the field of research
- Meet the expectation of public trust
- Membership in the community of scholars-- traditions, values, ethics



#### **Traditions and values**

 "The scientific research enterprise, like other human activities, is built on a foundation of trust."

National Academy of Sciences, "On Being a Scientist". (1995)



# General categories

- Lab notebooks, chemical, radiation, and biological safety, and mentoring
- Responsibility to human subjects
- Responsibility to animal subjects
- Objectivity/conflict of interest
- Avoiding falsification & fabrication of data and plagiarism ("misconduct")



## **Laboratory Notebooks**

- Complete and accurate lab notebooks are important as a primary source of data, to track protocols and equipment, to record your thoughts for future papers, and as the source of data for a potential patent.
- Check with your major prof/advisor for more guidelines
- **Websites**: <u>www.life.uiuc.edu/mcb/580/lab-notes.html</u> or <u>www.swarthmore.edu/NatSci/cpurrin1/notebookadvice.htm</u>



## **Institutional Review Boards**

- Human Subjects
- Animal Subjects

Other University committees on radiation, chemical, and biological safety



## **Human Subjects**

- Research using surveys, focus groups (SBES) or tissue samples (STEM)
- Identifiable private information (confidentiality)
- Interactions or interventions
- IRB application, approval process
- (45 CFR 46-102: federal definition)



#### Welfare of Lab Animals

- Humane care and treatment
- "Meaningful" research
- IACUC = institutional animal care and use committee; University veterinarian
- Non-animal models



## **Conflicts of Interest**

- Financial
  - Funding
  - Companies: employees, equity
  - Use of products
  - Royalties
  - Relationships
- Federal requirements for disclosure



# Mentoring

- Responsibilities of mentors to mentees
- Responsibilities of mentees
- Honesty, collegiality, fair and open work relationships, letters of reference, helping students develop into professionals
- http://grad.msu.edu/all/ris04.pdf
   Best practices from Michigan State University



## Research Misconduct

- Defined by federal policies
- Adhered to by all universities taking any federal money
- Misconduct
- "Questionable" research practices
- "Unacceptable" research practices



## **Plagiarism**

 "Copying the language of another and passing it off as your own" (New American College Dictionary)

- CITATIONS
- ACKNOWLEDGEMENTS



- Referring directly to the ideas and words of others
- Using previous work as a foundation for your own
- Referencing outside material important to support your argument
- Citing information that is not "common knowledge"



- Acknowledging contributors
  - Group work
  - Services from others
- Copying sections or passages of text
- Using previously submitted work
- Paraphrasing
- Summarizing



# Whistleblowing

- Good faith
- Best reference: CK Gunsalus. 1998.
   "How to Blow the Whistle and Still Have a Career Afterwards" Science and Engineering Ethics 4 (1): 51-64.

• http://poynter.indiana.edu/see-ckg1.pdf



# **Gunsalus suggestions**

- Consider alternative explanations
- Ask questions, instead of making charges
- Documentation
- Separate personal and professional
- Seek advice and listen to it

(1998) How to Blow the Whistle and Still Have a Career Afterwards

